OUTLINE

Recap of the HoAI Borderlands Roadmap

Leveraging Existing HOAI Investments (Action #1)

▶ EU/BMZ Borderlands Programme

▶ FCDO Deris Wanaag

▶ BMZ Programs

▶ AfDB and World Bank Projects

▶ Multi-sectoral Borderlands Program

Social Cohesion Policy Analysis - preliminary findings for feedback (Action #3)

Roadmap Launch Schedule (Action #4)

HORN OF AFRICA INITIATIVE

In Partnership with

WORLD BANK GROUP

BANQUE AFRICAINE DE DEVELOPPEMENT
AFRICAN DEVELOPMENT BANK

AFRICAN DEVELOPMENT FUND
FONDS AFRICAIN DE DEVELOPPEMENT

Foreign, Commonwealth & Development Office

Federal Ministry for Economic Cooperation and Development
BMZ

PREPARATION OF THE BORDERLANDS ROADMAP

FORMATION OF NATIONAL WORKING GROUPS (NWGS)

- NWGs chaired by Ministries of Finance
- Included representatives of ministries at national and sub-national levels, local governments, CSOs.
- Ministries included interior, trade, transport, agriculture, livestock, power, among others.

REGIONAL CONSULTATIONS

- Regional workshop in Addis Ababa to consolidate and discuss NWG inputs.
- Investment, policy, and institutional priorities refined in borderland-group based discussions.

FINAL DISCUSSION AND ADOPTION

- 18th HoAI Ministerial Meeting: October 3, 2023

REQUEST TO PREPARE A BORDERLANDS ROADMAP

- HoAI principals requested preparation of borderlands roadmap at 16th Ministerial meeting (April 2023).
- Request reiterated at 17th Ministerial Meeting.

NATIONAL CONSULTATIONS

- Technical/bilateral consultations between NWG members and other stakeholders
- National stakeholder workshops organized to consolidate inputs.
- Meetings held in Ethiopia, South Sudan, Somalia, Djibouti, and Nairobi.

VALIDATION MEETINGS

- Draft circulated to NWGs and Development Partners for comment
- Revision of draft to include comments.

6 DEVELOPMENT PRIORITIES
TO BE IMPLEMENTED IN BORDERLAND COUNTRY CLUSTERS

BORDERLAND DEVELOPMENT PRIORITIES

Last mile improvements in road, digital and energy connectivity

Cross-border trade and mobility for borderland- specially women and youth

Sustainable livelihoods through enhanced local economic development

Strengthening borderlands resilience

Strengthening cross-border social cohesion and peace building in the borderlands

Improving cross-border basic social services, including health, education, water, and sanitation
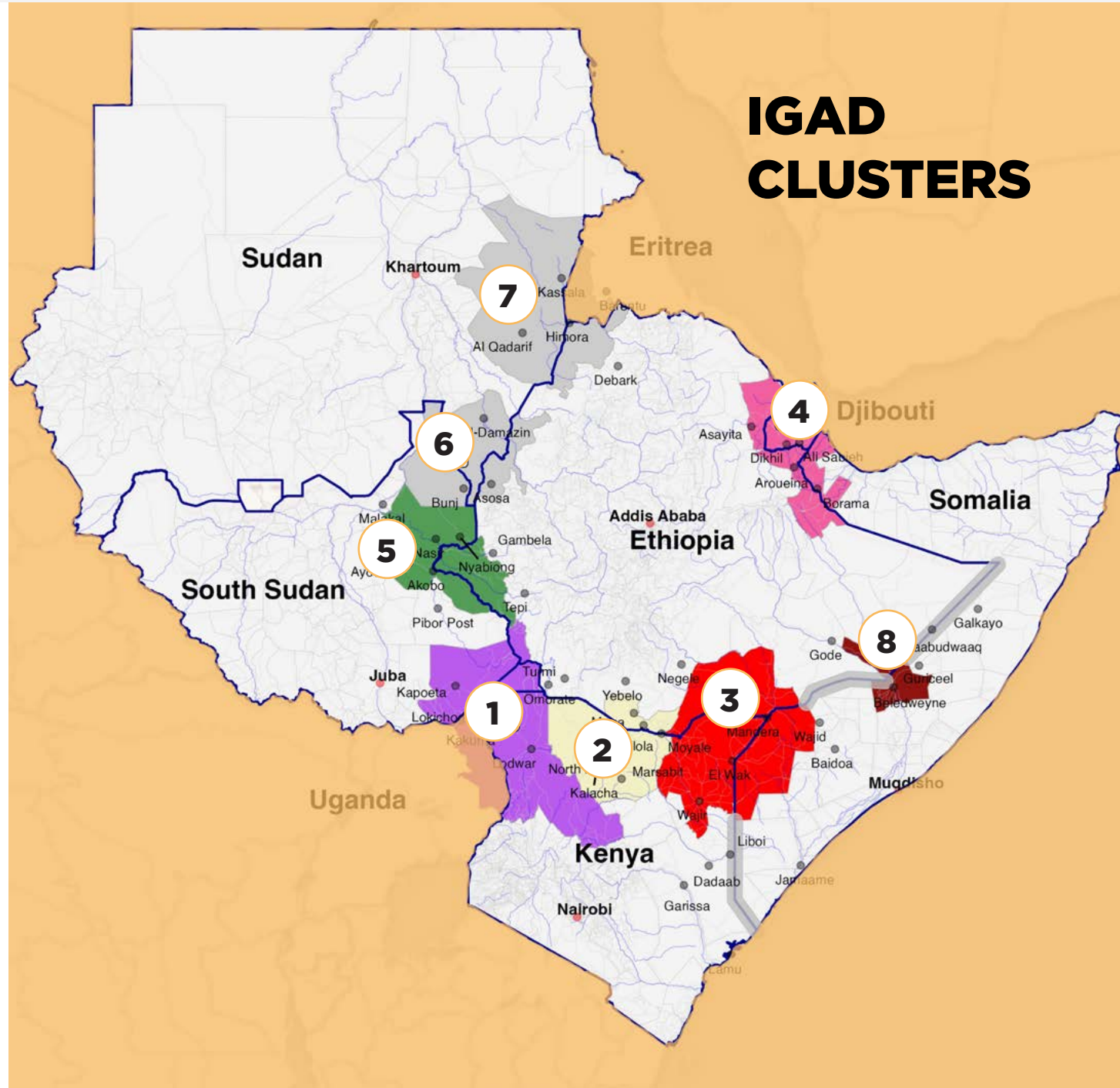
IGAD CLUSTERS

1. Ethiopia-South Sudan (encompassing proposed IGAD Cluster 5 - Gambela area)

2. Ethiopia, Kenya, and South Sudan (including IGAD Cluster 1– Karamoja)

3. Ethiopia and Kenya (encompassing IGAD cluster 2 (Borana)

4. Ethiopia-Kenya-Somalia (Mandera Triangle but also southern Kenya-Somalia)

5. Ethiopia and Somalia (including the Hiraan area or IGAD cluster 8)

6. Djibouti, Ethiopia and Somalia (IGAD Cluster 4 – Dikhil)

Roadmap scope to also cover Sudan country clusters once conditions allow and expand existing clusters, e.g., Kenya-Somalia.

IMMEDIATE PRIORITIES (2024)

ACTION 1

Leverage the existing HOAI portfolio to address borderland development priorities

- Priorities identified by borderland country cluster (e.g., leveraging Eastern Africa Regional Digital Integration Project (WB) & Regional Economic Corridor Project (WB) to enhance borderlands connectivity on the South Sudan side in the Ethiopia-South Sudan borderland)
- Consultations ongoing for provision of technical support (if needed) from DPs.

ACTION 2

Assess and identify opportunities for expanding government spending in borderlands

- Leverage national and subnational spending, where appropriate, to address borderland priorities
- Undertake a borderlands public expenditure analysis
- Develop a simple budget tracking tool for monitoring budget allocations and budget execution for borderlands development.

ACTION 3

Prepare white papers on two priority borderland policy issues

- Facilitating Cross-Border Trade and Mobility for Borderland Communities (different regional protocols, support for small scale traders, implementation of Simplified Trade Regimes)
- Peace and Social Cohesion Policy in the Borderlands

ACTION 4

Hold local consultations on the Roadmap and initiate borderland coordination platform

- HoAI and partners including IGAD to and initiate a forum for policy dialogue with borderlands communities.

ACTION 5

Establish a technical facility to support implementation of the Roadmap

PROGRESS & GAPS

Borderland Cluster – Ethiopia and South Sudan
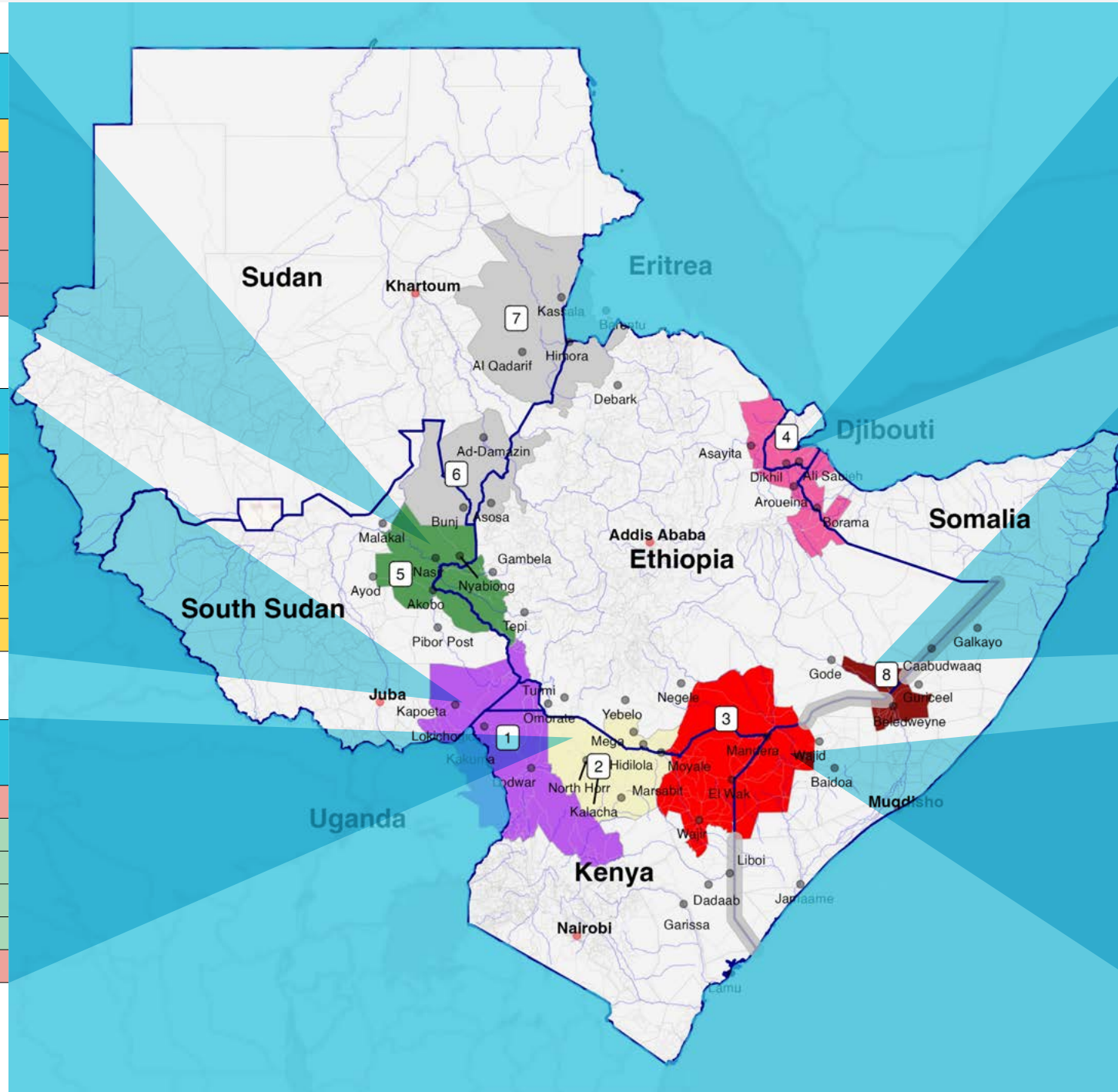
Priority 1: Connectivity
Priority 2: Cross-Border Trade
Priority 3: Sustainable Livelihoods
Priority 4: Resilience
Priority 5: Social Cohesion
Priority 6: Basic Social Services

Borderland Cluster – Ethiopia, Kenya, and South Sudan

Priority 1: Connectivity
Priority 2: Cross-Border Trade
Priority 3: Sustainable Livelihoods
Priority 4: Resilience
Priority 5: Social Cohesion
Priority: 6: Basic Social Services

Borderland Cluster – Ethiopia and Kenya

Priority 1: Connectivity
Priority 2: Cross-Border Trade
Priority 3: Sustainable Livelihoods
Priority 4: Resilience
Priority 5: Social Cohesion
Priority 6: Basic Social Services

Borderland Cluster – Djibouti, Ethiopia, and Somalia

Priority 1: Connectivity
Priority 2: Cross-Border Trade
Priority 3: Sustainable Livelihoods
Priority 4: Resilience
Priority 5: Social Cohesion
Priority 6: Basic Social Services

Borderland Cluster – Ethiopia and Somalia

Priority 1: Connectivity
Priority 2: Cross-Border Trade
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Borderland Cluster – Ethiopia, Kenya, and Somalia

Priority 1: Connectivity
Priority 2: Cross-Border Trade
Priority 3: Sustainable Livelihoods
Priority 4: Resilience
Priority 5: Social Cohesion
Priority 6: Basic Social Services

PEACEFUL AND RESILIENT BORDERLANDS PROGRAM (EU, BMZ)

PROGRAM OVERVIEW

KEY OBJECTIVE(S)

- Enhance effectiveness of conflict prevention and mitigation in cross-border communities in select border areas in the Horn of Africa.

- Builds on a first phase implemented from 2017 to 2023: 'Collaboration in Cross-Border Ares of the Horn of Africa'.

FUNDING AND TIMELINE

- Funding sources: EU and BMZ

- €40M, implementation started in Jan. 2024, until Jan. 2027.

- Implementation: international and local non-government actors plus GiZ.

COORDINATION COMPONENT

- Ensure coordination across Clusters, support IGAD and govt. authorities to improve cross-border management & foster knowledge sharing on borderlands.

- Component co-funded by BmZ & implemented by GiZ
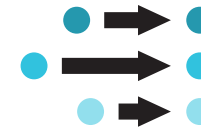
BORDERLANDS ROADMAP ALIGNMENT

ROADMAP PRIORITIES ADDRESSED

1. Connectivity = ☒
2. Cross-border trade and mobility = ☑
3. Sustainable livelihoods = ☑
4. Community resilience = ☑
5. Social cohesion = ☑
6. Basic social services = ☒

Cross-cutting themes:
- climate change adaptation
- women and youth inclusion

CROSS-COUNTRY CLUSTERS

- IGAD Clusters I (Karamoja)
- IGAD Cluster II (Moyale)
- IGAD Cluster III (Mandera Triangle).

SUPPORT FOR ROADMAP ACTIONS

- Roadmap launches and awareness raising
- Knowledge gathering and sharing
- Cluster level coordination

PROGRAM OVERVIEW

KEY OBJECTIVE(S)

- Cross-border initiative: promoting peace and stability, strengthening social and economic dynamics, and increasing collaboration between local administrations and communities.

FUNDING AND TIMELINE

- GBP 15M -- funded by the UK's Integrated Security Fund (ISF) and the Dutch MFA, from March 2023 to February 2026

IMPLEMENTATION ARRANGEMENTS

- Implemented by Adam Smith International (ASI), the Danish Refugee Council (DRC) and the Royal United Services Institute (RUSI)

- Integrated programming – combining security, development, and peacebuilding – strengthening national responses, conflict resolution efforts, institutions for resilience, addressing drivers of violent extremism.
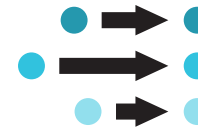
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5. Social cohesion = ☑
6. Basic social services = ☒
Cross-cutting themes:
- women and youth inclusion

CROSS-COUNTRY CLUSTERS

- IGAD Cluster III (Mandera Triangle)
Extending to Mandera, Wajir, Garissa and Lamu (Kenya), Jubaland (Somalia) Dolo Ado & Dolo Bay (Ethiopia).

SUPPORT FOR ROADMAP ACTIONS

- Policy analytics and support, e.g., Social Cohesion White Paper
- Community perceptions monitoring data
- Knowledge gathering and sharing
- Cross-border cluster coordination

BMZ- PRIORITY PROGRAMS

PROGRAM OVERVIEW

APPROACH

BMZ's contribution to the HoAI Borderlands Roadmap is:

1. in partnership with IGAD (partly in joined-up approaches with the EU), and

2. through bilateral cooperation with HoAI countries

### PROJECTS FOCUSED ON LIVELIHOOD AND RESILIENCE

- Support to IGAD for financing **water and sanitation, livestock management and conservation of natural resources** in border clusters (50 million EUR, implemented by KfW)

- Support to IGAD Secretariat for **cross-border management of the risk of natural disasters and resilience building** (10 million EUR, implemented by GIZ).

PROJECTS FOCUSED ON MIGRATION

- Financing for IGAD Regional Migration Fund focusing on **increasing economic potential of border regions along migration routes and creating income opportunities** for migrants and host communities (52 million EUR, implemented by KfW);

- Supporting IGAD on its **free movement of persons protocol and inclusion of refugees and returnees in national systems** as well as livelihoods in Moyale border region (16 million EUR, implemented by GIZ).

PLEDGED FINANCING
(EUR 29M - 2023)

1. Facility to enhance the **resilience against the effects of climate change** (financial cooperation, EUR 22.5 million)

2. Project on climate-related migration (technical cooperation EUR 6.5 million).
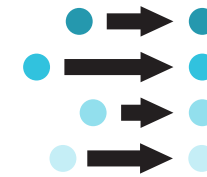
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- IGAD Cluster III (Mandera Triangle).

SUPPORT FOR ROADMAP ACTIONS

- Roadmap launches and awareness raising
- Knowledge gathering and sharing
- Cluster level coordination

PROGRAM OVERVIEW

PROGRAM TO BUILD RESILIENCE FOR FOOD AND NUTRITION SECURITY IN THE HOA (BREFONS)

- Development of climate resilient infrastructure, delivery of extension and support services, strengthening institutional capacity of ICPAC (IGAD)

- Provision of water infrastructures, developing animal health and market infrastructures (cross border vet labs, clinics and vaccination centres in borderland clusters, livestock markets, fodder banks, etc.)

- Rehabilitation of rangeland/pastures

HORN OF AFRICA ISIOLO – MANDERA CORRIDOR: EL WAK – RHAMU ROAD UPGRADING PROJECT

- Improvement of transport and trade along the Isiolo – Mandera Corridor (Kenya, Ethiopia and Somalia borderlands)

- Trade facilitation

- Provision of water, market, and livelihood trade related infrastructure.

BORDERLANDS ROADMAP ALIGNMENT

ROADMAP PRIORITIES ADDRESSED

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3. Sustainable livelihoods = ☑
4. Resilience = ☑
5. Social cohesion = ☒
6. Basic social services = ☒

Cross-cutting themes:
- Climate adaptation.
- Marginalized women and youth.

CROSS-COUNTRY CLUSTERS

- El Wak – Rhamu Road Upgrading Project (Cluster 3: Mandera triangle)
- BREFONS (Clusters 1, 2, 3, & 4)

SUPPORT FOR ROADMAP ACTIONS

- Enhanced Technical Assistance
- Launches

PROGRAM OVERVIEW

EASTERN AFRICA REGIONAL DIGITAL INTEGRATION PROJECT

Bridging network coverage and access gaps through infrastructure financing and support to development of regional broadband connectivity market

DE-RISKING, INCLUSION, AND VALUE ENHANCEMENT OF PASTORAL ECONOMIES IN THE HORN OF AFRICA (DRIVE)

Supporting livestock value chains through de-risking, infrastructure building, and trade facilitation.

GROUNDWATER FOR RESILIENCE

Support for infrastructure and inclusive community-level access to groundwater.

HORN OF AFRICA REGIONAL INTEGRATION FOR SUSTAINABLE ENERGY SUPPLY (RISES)

Enhancing regional energy integration and improved borderland access to clean energy.

DEVELOPMENT RESPONSE TO DISPLACEMENT IMPACTS (DRDIP)

Improve access to basic social services, expand economic opportunities, and enhance environmental management for communities hosting refugees.
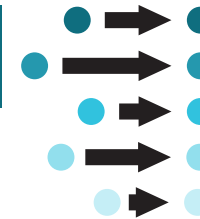
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Cross-cutting themes:
- Climate adaptation.

CROSS-COUNTRY CLUSTERS

Varies for each project
- Digital (Clusters 1, 3, 4, 5, & 8)
- DRIVE (2, 3, 4, 8)
- Groundwater (Clusters 1, 2, 3 and 4)
- DRDIP (Parts of Clusters 1, 4 & 5).

SUPPORT FOR ROADMAP ACTIONS

- Roadmap Convening and Updates
- Policy Papers and Convening, e.g., Social Cohesion.
- Enhanced Technical Assistance
- Launches

GAPS

1

SPATIAL
some cross-border clusters are better covered than others, e.g., Kenya's cross-border clusters vs those of Ethiopia and South Sudan.

2

PROGRAMMING
Some borderland development priorities well covered (connectivity, resilience) and others less so (social cohesion, basic social services).

3

FINANCING
although more work is required (Action #2), indications that the population of 20 million people living in the cross-border clusters are under-invested.

4

INSTITUTIONAL AND EMPOWERMENT
platforms for empowering and enhancing the citizen voice in borderland policy and development lacking.

5

PEACE AND COHESION
foundational levels of peace and security for sustainable development are absent in some cross-border clusters, e.g., Cluster 5 (Gambella) and parts of the Cluster 1 (Karamoja), Cluster 3 (Mandera), and Cluster 8 (Shabelle).

A multi-sectoral regional borderlands program is still a priority in the short to medium-term

Would address investment gaps and delivery complementary local investments, coordinate sector project at the local level, and support the institutional framework for borderland development.

Focus on under-programmed cross-border clusters (e.g., Ethiopia, South Sudan), but learn from well programmed clusters (Kenya).
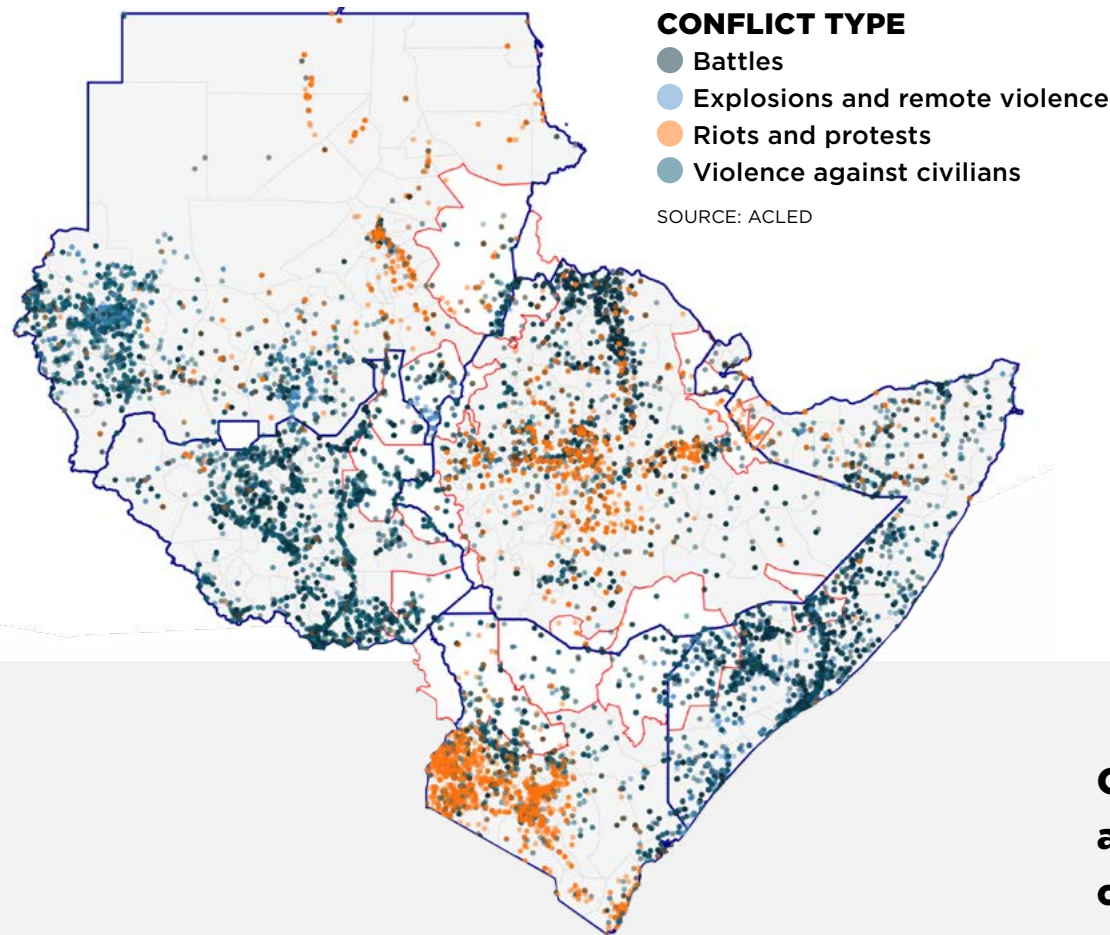
Phased approach – start with conflict analysis, community-driven approaches, and complementary programming:
- Complementary last mile infrastructure
- Small-scale trade facilitation with a focus on women and youth
- Natural resource management
- Local economic development
- Peace building and conflict management
- Basic social service delivery grants

Support implementation of a local cross-border platform for borderlands.

CONFLICT TYPE
- Battles
- Explosions and remote violence
- Riots and protests
- Violence against civilians

SOURCE: ACLED

SOCIAL COHESION IS:

"a sense of shared purpose, trust and willingness to cooperate among members of a given group, between members of different groups, and between people and the state."
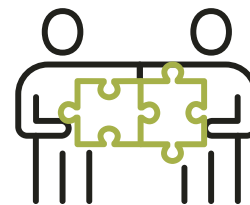
HORIZONTAL
within the members of a community & between members of two different communities

VERTICAL
between citizens and the state

Borderlands Roadmap identified social cohesion as a key challenge in HoA Borderlands.

Challenges of both horizontal and vertical social cohesion – characterized by:
- sexual and gender-based violence
- inter-communal violence (often over resources)
- historical marginalization of borderland communities and trust deficit towards state authorities

Improved social cohesion is essential for:
- Facilitating economic development— economic investments, trade and commerce, and exchange across communities.
- Managing local infrastructure.
- Building climate resilience.
- Responding to humanitarian crises – especially high levels of displacement.
- Improving national security by countering violent extremism.

EXISTING INITIATIVE AND INSTITUTIONS

Responsibility for social cohesion is distributed across institutions, policies and processes.

SOME KEY INSTITUTIONS	POLICIES AND PROCESSES	EXAMPLES OF HOAI PROJECTS FOCUSED ON SOCIAL COHESION
• **DJIBOUTI:** Ministère de l'intérieur, Ministry of Justice, Agence Djiboutienne de Développement Social	• Constitutional documents and national development plans, e.g.	• Development Response to Displacement Impacts Project in the HoA (DRDIP, WB) – operating in Djibouti and Ethiopia

• **ETHIOPIA:** Ministry of Peace, National Dialogue Commission, Administration for Refugee and Returnee Affairs (ARRA), etc.	- Vision Djibouti (2035)
	
	  - Somalia 9th National Development Plan (2020-24)
	
	  - Kenya's National Cohesion and Integration Act
		• Peaceful and Resilient Borderlands (EU-BMZ)

• **KENYA:** National Cohesion and Integration Commission (NCIC)		• Groundwater for Resilience (WB)
• **SOMALIA:** Ministry of Interior (MOIFAR) and Ministry of Planning (MoIPED)	• Devolution process in Kenya, Ethiopia's ongoing national dialogue process, etc.	• Boresha (EU)
• **SOUTH SUDAN:** Ministry of Peacebuilding	• Community based/localized social cohesion processes	• Deris Wanaag (FCDO)
• Customary and local authorities	• Security sector reform policies across HoAI countries	
• IGAD – e.g. CEWARN Initiative		

KEY GAPS

Cross-border initiatives aimed at promoting social cohesion, institutionalization of local social-cohesion platforms across the HoA Borderlands.

KEY GLOBAL AND REGIONAL LESSONS

PROGRAMS & POLICIES

Programs and policies should utilize a clear and concise concept of social cohesion, with:

- A clear and critical contextual assessment of the social cohesion challenges in a country/region.
- Context-appropriate indicators and methods to measure efforts to improve social cohesion (for instance by modifying existing indices such as Social Cohesion and Reconciliation (SCORE) Index).

INTEGRATED APPROACH

Most useful for social cohesion to guide national policymaking and programming as a whole rather than being included only as part of discreet programs – small scale interventions tend to affect only direct recipients.

COMMUNITY & LOCAL LEADERSHIP

Community and local leadership is vital for social cohesion initiatives – including through being part of the design process of interventions.

INTERVENTIONS

Social cohesion interventions need to complement/be complemented by interventions on addressing key household/community needs.

TIMELINES

Timelines for interventions and assessments should be realistic.

PRELIMINARY POLICY RECOMMENDATIONS & NEXT STEPS

KEY POLICY RECOMMENDATIONS

National social cohesion policies, programs, and financing to be reviewed to assess coverage of borderland areas/communities.

Nationally appropriate monitoring framework developed to measure/assess borderlands social cohesion efforts.

Conflict sensitivity assessments to be included in Borderlands focused development projects (including large infrastructure development, and government investments).

and support for cross-border institutional coordinatioDevelopment partners should support national social cohesion efforts through programmatic interventions.

Development partners should support institutionalization of cross-border platforms to social cohesion.

- Develop or support local-level memoranda of understanding on the creation of these platforms.
- create separate community-level structures or platforms under new development projects where they do not already exist.
- Strengthen existing structures – at the community level and/or those created under development programs.

NEXT STEPS

- Consultations on HoAI white paper with country focal points, national advisers, and project teams.

- Consultations with development partners.

- Revisions, validation, and adoption of social cohesion white paper.

- Identifying additional research required, including deep dives on conflict and peace building in clusters 1 and 5.

- Identification of gaps in investments – including need for multi-sectoral regional borderlands program covering social cohesion, livelihoods, basic social service delivery, etc.

CONCEPT
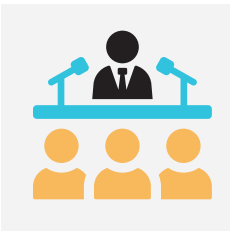
OPENING REMARKS from visiting Government leaders and Development Partners dignitaries

BORDERLANDS ROADMAP PRESENTATION

PANEL DISCUSSION OF BORDERLAND PRIORITIES:
- Local government leaders,
- Traditional community leaders,
- Civil society representatives
- Private sector
- Women and youth

(OPTIONAL) PRESENTATION AND DISCUSSION on either HOAI policy notes and/or cluster program, e.g., Deris Wanaag.
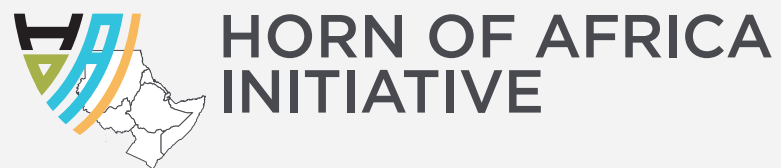
SCHEDULE (TENTATIVE)

COUNTRY	PROPOSED LOCATION	PROPOSED DATE
Djibouti	Ali Sabieh	Week of September 23
Ethiopia	Jigjiga	Week of August 12
Kenya	Mandera	Week of September 16
Somalia	Mandera triangle (precise location TBC)	TBC
South Sudan	Torit	Week of September 9

Note that all launch dates and locations subject to additional security assessments and clearances as required.

GOVERNMENT LED IN PARTNERSHIP WITH IGAD, HOAI DEVELOPMENT PARTNERS, AND IMPLEMENTING PARTNERS.

THANK YOU

Horn of Africa Initiative — In Partnership with World Bank Group, African Development Bank / African Development Fund, European Union, Foreign, Commonwealth & Development Office, BMZ Federal Ministry for Economic Cooperation and Development